

01919 U.S. PTO
123103**UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.

142-03

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**MINERAL-SURFACED ROOFING SHINGLES WITH INCREASED SOLAR HEAT REFLECTANCE,
AND PROCESS FOR PRODUCING SAME**

and invented by:

Ming L. Shiao, Gregory F. Jacobs, Husnu M. Kalkanoglu, and Keith C. Hong

22387 U.S. PTO
10/749680
123103If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/679,898

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 38 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications *(if applicable)*
 - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
 - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings *(if filed)*
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal Number of Sheets _____
- b. ☒ Informal Number of Sheets 2
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)* ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

9. ☐ Assignment Papers *(cover sheet & document(s))*
10. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EV281505191US

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Total Pages in this Submission

Accompanying Application Parts (Continued)

17. ☐ Additional Enclosures *(please identify below):*

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|--|

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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(Large Entity)

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142-03

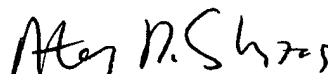
Total Pages in this Submission

Fee Calculation and Transmittal

CLAIMS AS FILED

| For | #Filed | #Allowed | #Extra | Rate | Fee |
|--|--------|----------|--------|-----------|------------|
| Total Claims | 66 | - 20 = | 46 | x \$18.00 | \$828.00 |
| Indep. Claims | 13 | - 3 = | 10 | x \$86.00 | \$860.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
| BASIC FEE | | | | | \$770.00 |
| OTHER FEE (specify purpose) | | | | | \$0.00 |
| TOTAL FILING FEE | | | | | \$2,458.00 |

- ☒ A check in the amount of **\$2,458.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **16-0750** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Dated: ³¹December ~~24~~, 2003

Alex R. Sluzas, Esq.
Registration No. 28,669

Paul & Paul
Two Thousand Market Street
Suite 2900
Philadelphia, PA 19103
(215) 568-4900

cc: Order No. 1970

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent
application of: Ming L. Shiao, et al.

Serial No. Not yet assigned

Filing Date: Herewith

For: **MINERAL-SURFACED ROOFING
SHINGLES WITH INCREASED
SOLAR HEAT REFLECTANCE, AND
PROCESS FOR PRODUCING SAME**

Docket No: 142-03

Mail Stop Patent Applications
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of Mailing by Express Mail
Express Mail Label No: EV281505191US
Date of Deposit: December 31, 2003

I hereby certify that this paper or fee and the
papers indicated as being transmitted
therewith are being deposited with the United
States Postal Service as "Express Mail Post
Office to Addressee" in an envelope
addressed to:

Mail Stop Patent Applications
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Alex R. Sluzas
(type or print name of person making deposit)

Alex Sluzas

Signature

EXPRESS MAIL COVER LETTER

Sir:

Enclosed and attached hereto are the following papers for filing in the United

States Patent and Trademark Office:

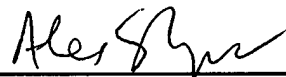
1. This Express Mail Transmittal Sheet, in triplicate;
2. Utility Patent Application Transmittal Form, Lg. Entity, 4 pgs. in duplicate;
3. Specification (38 pages);
4. Declaration and Power of Attorney (4 pgs. unexecuted);
5. Two (2) sheets of informal drawings;
6. A check in the amount of \$2,458.00 for payment of application filing fee; and
7. An acknowledgment postcard to be date-stamped and returned to Paul & Paul.

Docket No. 142-03
December 31, 2003
Page 2

The Commissioner is hereby authorized to charge any additional fees associated with this communication to Paul & Paul deposit account number 16-0750, Order No. 1970. This cover letter is being submitted in triplicate.

Respectfully submitted,

December 31, 2003



Alex R. Sluzas
Registration No. 28,669

Order No: 1970

Paul & Paul
Two Thousand Market Street
Suite 2900
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